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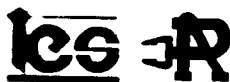
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SIEMENS

Control of a Wheelchair for Handicapped People by Face Tracking

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This paper shows a guidance system for an electrical wheelchair for handicapped people by head tracking. A color face tracking system has been developed in order to compute head movements of the user. Depending on them, some commands are generated to drive the wheelchair. The system is non-invasive and it allows visibility and freedom of head movements. It is able to learn the face features of the user and it allows automatic initial setup, working even for people of different races. It is adaptive and, therefore, it allows light and background changes in inside environments. It has been tested with several users and good results are given.

1. INTRODUCTION

Human-machine interaction which is very important in the direction in which a person is moving. Information can be required for several applications: automatic focus [1], teleconferencing with automatic zoom [2], face identification in videoconferencing [3], gaze driven panorama image viewer [4], lip readers [5], and mobility of disabled people [6], etc.

The Department of University of Alcalá has been working for more than 6 years, on artificial means of mobility for handicapped people. Nowadays, a system is being developed, within SIAMO (System for Assisted Mobility) [7], in a multi-functional wheelchair for disabled people (Figure 1). This project includes an interface, by head movements, for cases of visual impairment. At present, a wheelchair prototype is being developed with this guidance method. A 3D simulator has been developed, as well, to help the users in adapting to the system in a safer way.

The paper describes the global system architecture and the methodology followed in its design. The paper also shows experimental results are given and conclusions about its performance have been drawn.

2. SYSTEM ARCHITECTURE

The general system architecture. Through a color camera, placed in front of the user, images are captured. These images are digitized by a video card and loaded in a PC Pentium memory. To process the image, an original skin color segmentation algorithm has been used, called UASGM

(Unsupervised and Adaptive Skin Gaussian Model) [8]. Then, a face tracking is applied to the skin blob and, depending on it, a high control state machine generates wheelchair's linear and angular speed (V_{cmd} , Ω_{cmd}).

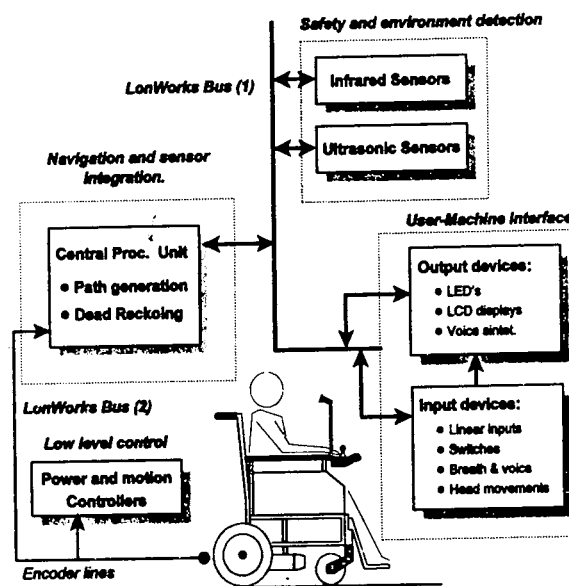


Figure 1. SIAMO Project

Applying the Kinematic model, linear and angular speed become angular speeds for every wheel ($\omega_{r,cmd}$, $\omega_{l,cmd}$) and they are sent to the low level control. In this level a PI controller has been designed to control the velocity of each wheel.

Next, the main parts of the system architecture will be explained.

2.1. Skin Segmentation

The UASGM method segmentates any person's skin, even of different races, under changing light conditions and

random backgrounds. To do this, a stochastic adaptive model of skin colors in a normalised RG (Red, Green) color space has been used.

The model is initialized by a clustering process. This divides the chromaticities of an image in a number of classes (k) between one and a maximum value (k_{max}). At each step, the k cluster centers are estimated using an approximate color histogram. After that, these centers are adjusted employing a competitive learning strategy (Vector Quantization algorithm [9]) in a closest center sense. Finally a clustering quality factor is computed for each topology. This factor for k classes is given by (1), where $tr[\cdot]$ is the trace of a matrix and S_w and S_B denotes, respectively, the within-cluster and between-cluster scatter matrices.

$$F_k = tr[S_w^{-1}S_B] \quad 1 \leq k \leq k_{max} \quad (1)$$

$$S_B = \frac{1}{kM} \sum_{l=1}^k M_l [m_l - m_0]^T [m_l - m_0] \quad (2)$$

$$S_w = \frac{1}{k} \sum_{l=1}^k \frac{1}{M_l} \sum_{i=1}^{M_l} [x_i - m_l]^T [x_i - m_l] \quad (3)$$

$$m_0 = \frac{1}{M} \sum_{i=1}^M x_i \quad ; \quad m_l = \frac{1}{M_l} \sum_{i=1}^{M_l} x_i \quad (4)$$

In these equations, k is the number of clusters, M_l is the number of pixels in the l^{th} cluster, x_i is a color pixel in the l^{th} cluster, m_l is the mean of the l^{th} cluster, m_0 is the mean

of all of the feature vectors and M denotes the number of pixels to be clustered.

The process is repeated adding a new class at each step until the maximum number of classes. The maximum quality factor gives the number of classes that best fits the histogram distribution. With these classes the skin cluster is located depending on the distance between the center of the clusters and the skin color position. Then, the skin class is modeled by a Gaussian function $N(m_s, C_s)$ and the parameters of this model are estimated by a linear combination of the previous ones using the maximum likelihood criterion.

The estimated mean vector, \hat{m}_s , and the estimated covariance matrix, \hat{C}_s , will be calculated as

$$\hat{m}_s = \sum_{l=1}^v \alpha_l R_l$$

$$\hat{C}_s = \sum_{l=1}^v \beta_l R_l$$

where, R_l are the previous mean vectors, C_l are the previous covariance matrix; α_l are the coefficients for the mean prediction and β_l are the coefficients for the covariance matrix prediction. To calculate the coefficients for the prediction, we have used the method proposed by Anderson [10]. The basic algorithm is iteratively estimate the coefficients $\beta_l^{(i)}$ independently, where the superscript i denotes the i th iteration. The iteration process

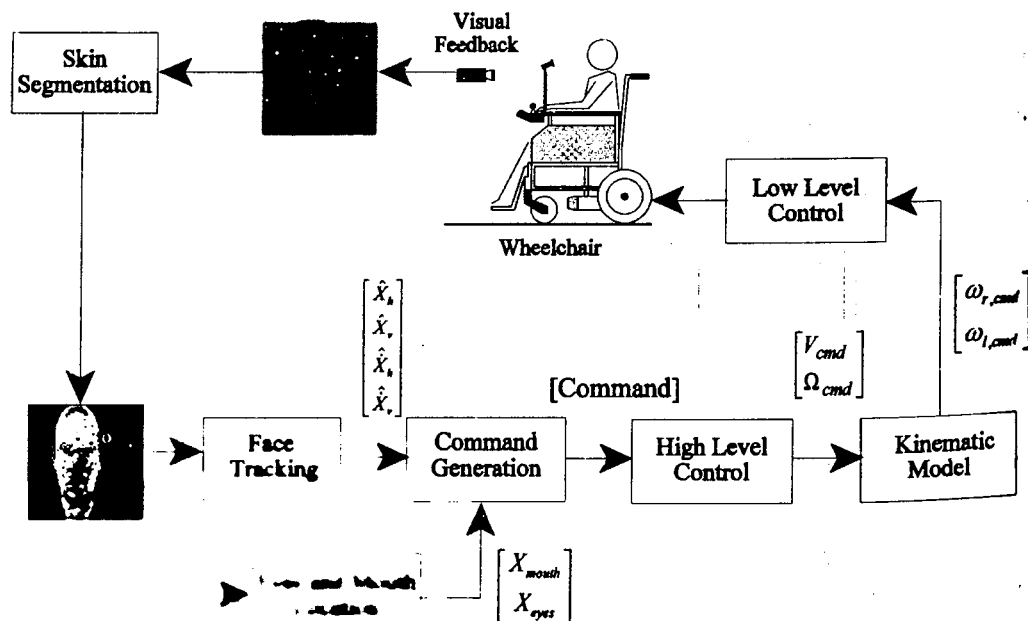


Figure 4. Structure of the guidance by head movements

... in the order of $\alpha, \hat{m}_S, C, \beta, \hat{C}_S$,
 ... is terminated if $\max(|\beta^{(i)} - \beta^{(i-1)}|)$,
 ... is an error parameter defined by the

... the k th iteration will be:

$$R_{jS}^{-1} R_{jS}^T (\hat{C}_S^{(i-1)})^{-1} m_{jS} \quad j = 1, \dots, v \quad (7)$$

$$a_j^{(i)} = \sum_{l=1}^v a_l^{(i)} R_l \quad (8)$$

$$m_{jS} = m_{jS} (x_{j1} - m_{jS})^T + (x_j - \hat{m}_S^{(i)})(x_j - \hat{m}_S^{(i)})^T \quad (9)$$

$$S_j (\hat{C}_S^{(i-1)})^{-1} S_j^T \beta_j^{(i)} = \quad (10)$$

$$S_j (\hat{C}_S^{(i-1)})^{-1} C_S^{(i)} \quad j = 1, \dots, v$$

$$\hat{C}_S^{(i)} = \sum_{l=1}^v \beta_l^{(i)} S_l \quad (11)$$

... number of skin pixels.

... in the image, the Gaussian skin model is
 ... value is higher than a threshold, it can
 ... the pixel belongs to the skin class. An
 ... proportional to the trace of the
 ... matrix has been applied in the way

$$TH = K_n \text{tr}[\hat{C}_S] \quad (12)$$

... has been shown that the results,
 ... UASGM, respecting to the optimum
 ... in the clustering process, improve the
 ... the following methods: FHV (Fuzzy
 ... Evidence density and MDL (Minimum
 ... Length), and equalize these others: MML
 ... Length) and GMM (Gaussian
 ...), reducing the computing time in every
 ... methods are based on EM (Expectation-
 ... algorithm. An explanation of such
 ... data sets used in the comparison can be
 ... reference[11].

... and, with UASGM method, we have
 ... results than applying the GLVQ-F (Fuzzy
 ... Vector Quantization) one [12], that
 ... known PCM (Fuzzy C-Means) algorithm.
 ... the stochastic model shown in [4] as
 ... realization of the model suitable for the

2.2. Face Tracking

The use of the estimation theory for tracking in computer vision is well known [13]. On the skin blob some parameters are calculated to track the face: center of gravity (x,y), horizontal (h) and vertical (v) size of the face, being able to obtain the face position and orientation.

A zero-th order Kalman filter is used to estimate two independent state vectors: one of them for the horizontal variation ($X_h = (x, h)$) and the other one for the vertical variation ($X_v = (y, v)$). Two independent state vectors have been utilized because, in our application, users can do only horizontal and vertical head rotation movements. Then can be taken into account that horizontal and vertical movements are independent: horizontal size depends on the 'x' center position and vertical size on 'y' center. Horizontal and the vertical size of the face are calculated as two parameters, because the aspect ratio of the face can change with rotations. The state vectors (X_h, X_v) and their covariance matrices (C_{Xh}, C_{Xv}) are estimated in a recursive process composed by three phases: predict, match and update.

2.3. Commands generation

Estimated state vectors (\hat{X}_h, \hat{X}_v) and their derivatives

($\dot{\hat{X}}_h, \dot{\hat{X}}_v$) are inputs to a command generation state machine. Each state codifies one of the following commands: *turn right, turn left, increase speed, decrease speed and no command*. State transitions of the machine are achieved analysing the activation of some fuzzy conditions of input variables, based on thresholds. These are computed in the initial setup. Likewise, using the information given by eyes and mouth position (X_{eyes}, X_{mouth}) the special commands *on/off* and *forward/backward* will be obtained. These positions are calculated by the analysis of the hollows on the skin blob and imposing some geometrical restrictions. Hollows appear in the blob because in the face there are some features like: eyes, mouth, eyebrows, etc, that have different colors related with the skin.

Figure 3 shows the actions that generates the commands. For that, we have followed a criterion of simplicity in the fulfilment of these actions and in the robustness of their detection. Therefore, if user turns the head to the right the wheelchair will turn to the same direction. This happens as well if he turns his head to the left. Head rising involves the increment of wheelchair speed, and when the user bows it, this will decrease the speed.

Every time he winks an eye the wheelchair changes its state *on/off*; when lips are hidden it changes again to the command *forward/backward*. In order to have some special actions for, at least, two seconds just to be a user

the 'special command' activation. Doing this, wrong commands are avoided as a consequence of normal blinks. On/off commands allows to user activate or deactivate the system by themselves, so when it is in the off state, it can make any kind of movement being secure on the fact that no other command will be activated.

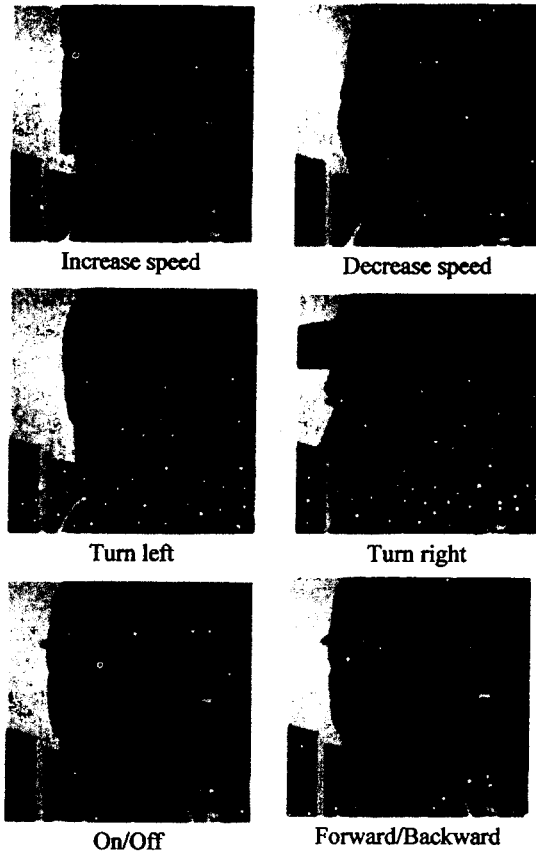


Figure 3. Actions that generate the commands

Table 1 presents the way in which the system recognizes the action that user has made, paying attention to some fuzzy conditions in the evolution of the state variables. Analysing the activation of these fuzzy conditions and their derivatives the commands are generated.

In figure 4 we can see an example of the right command activation for a sequence of right progressive rotations made by the user (30°, 60°, 90°). Here, the evolution of the state variables and their derivatives is shown.

Then, the values of the state variables for the "no command" position can be regarded as (x_R, y_R, h_R, v_R) . These last variables are used as well as reference positions in the calculation of the fuzzy conditions to do the state transitions.

As we can see the system is able to detect all the right progressive rotations, in the 250 samples, as the user made very slowly. This happens

because, working with the derivatives of the state variables, the actions speed are taken into account. It is not necessary to do one action, but it must be executed quickly, in this way some involuntary wrong actions are eliminated.

I: Increase, D:Decrease, E:Equal

Actions with the head	State Variables			
	h	x	v	y
No Command	E	E	E	E
Turn Right	I	D	D	I
Turn Left	I	I	D	I
Rising	E	E	I	I/D
Bows	E	E	D	I

Table 1. Fuzzy conditions in the evolution of the state variables for the different actions.

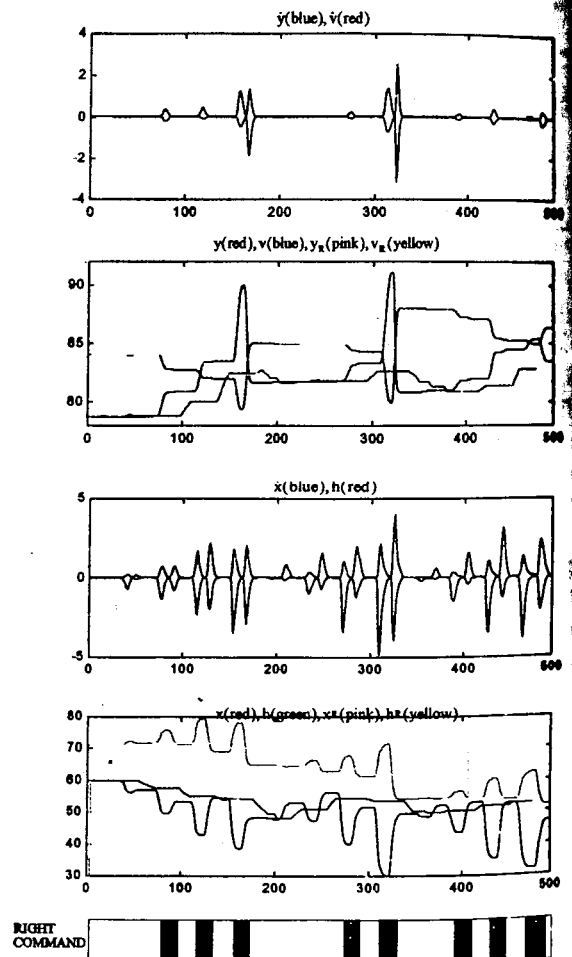


Figure 4. Examples of right command generation

2.4. High level Control

Commands are sent to another state machine which implements high level control and will generate the line

command to the wheelchair (V_{cmd} , Ω_{cmd}) of time. It works as it's shown in figure 5. The machine has six states: "Stop", "No speed", "Increase speed", "Decrease speed", "Turn right" and "Turn left".

The "No speed" state is reached when the wheelchair is at a stop position ($V_{cmd} = 0$, $\Omega_{cmd} = 0$). The "Increase speed" state is reached when the user gives a "Forward", "Backward", "Left", or "Right" command. The speed increases from a predetermined initial value to a final value, positive or negative, depending on the command. Then "Increase speed" and "Decrease speed" commands increase or decrease linearly as a function of time and depending on the command (forward/backward), up to certain predetermined values. The command on/off allows to stop the wheelchair and start the process.

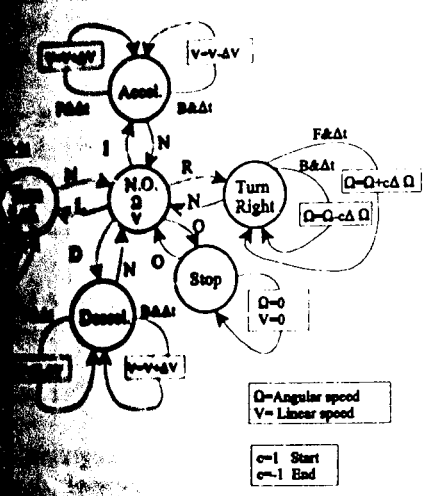


Figure 5. High level control state machine

SYSTEM LAYOUT

The system is designed so that there is a visual feedback loop, which reacts according to the current state. For instance, if the system detects a right obstacle, the wheelchair will turn to the right until it is clear.

The system is implemented on board the wheelchair presents the layout shown in figure 6 [14]. A LonWorks network has been developed, where different modules can easily be added because of the flexibility of its design.

The system is driven by a pair of DC-motors, one in the front and one in the back. A Neuron-Chip drives an H-bridge circuit necessary to achieve the intended motion of the wheel. It also provides the support for the LonWorks network functions:

The system obtains its data by reading the encoders

- Programmable in Neuron-C to design different control actuations. A PI controller is currently being used.
- It implements the seven layers of ISO communication.

The driver nodes receive the commanded angular speeds coming from the high level control driven by the vision process. In this node the vision algorithm is processed on a PC Pentium II 400 Mhz NT 4.0. This node employs an interface card to Lon net, called PCLTA, also based in a Neuron-Chip. LonWorks network access is rather simple, Neuron C issues network variables data types allowing simple programming for information exchange through the bus.

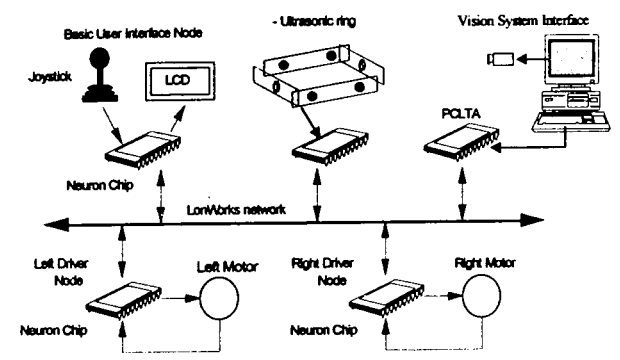


Figure 6. System layout

We have introduced, as well, advisory sounds when the commands are activated for easy control of the user through acoustic feedback.

The wheelchair prototype is also provided with an ultrasonic ring to increase safety during navigation and a user interface composed by a joystick and a LCD.

4. EXPERIMENTAL RESULTS

The vision system is able to process up to 10 images per second, with a resolution of 128x128 pixels. During the testing stage it great robustness exhibited in the velocity commands. However, some details are still to be fixed on the special commands. In order to increase the system controllability two switches have been included. One of them is used to activate the on/off command, while the second is intended to activate the forward/backward command. 10 commands per second are issued to the low level controller. The maximum wheelchair velocity was set to 1 m/s.

The system was tested for 5 different users in the Electronics Department's lab after some training on the simulator. In figure 7 we show the image of one of the users during a test. The test was performed by that user during one of the tests. The test is shown in figure 8. The evolution of the test time and the wheelchair's velocities is presented in figure 9. The test lasted 100

seconds, taking 5 samples per second. In this figure the accelerations of the wheelchair during straight sections can be clearly appreciated. Also, the wheelchair decreases its speed before performing a curve. In this case, six left turns were executed, yielding great angular velocity peaks in the figure.

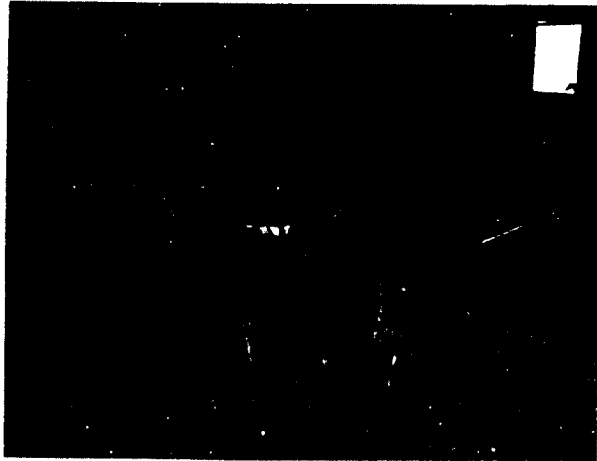


Figure 7. User doing the test

5. CONCLUSIONS AND FUTURE WORK

The conclusions obtained by the users after performing the test on the navigation system are presented below:

- It is non-intrusive, as it is passive, and there is no need for additional elements.
- Guidance complexity is decreased as more training is performed. We must also take into consideration that the camera is 80 cms in front of the user and, therefore, the system requires certain space for safe manoeuvring.
- The simple commands set and the wheelchair response allow for easy controllability in environments with not too many obstacles.
- Audible feedback is included to ensure proper command acknowledgement.
- It works well in indoor environments, where suitable illumination can be provided, decreasing the performance as light conditions get poorer. In outdoor environments there is no uniform illumination (shadows, direct sun light, etc), decreasing also the system capabilities.

Some future guidelines are to embed the system on a hardware platform and to develop a 3D model of the head in order to robustly obtain the gaze direction (not depending on the particular user) and perform the wheelchair control according to it.

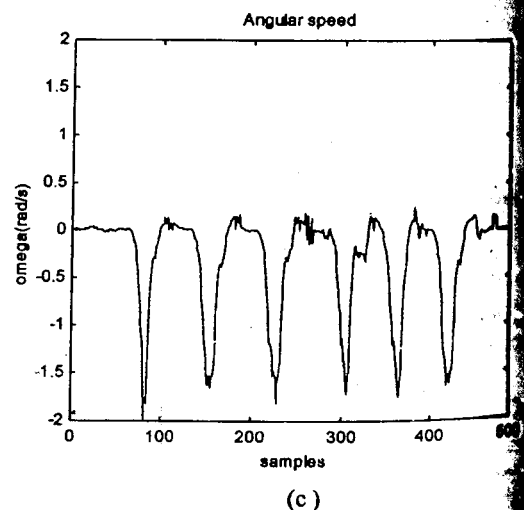
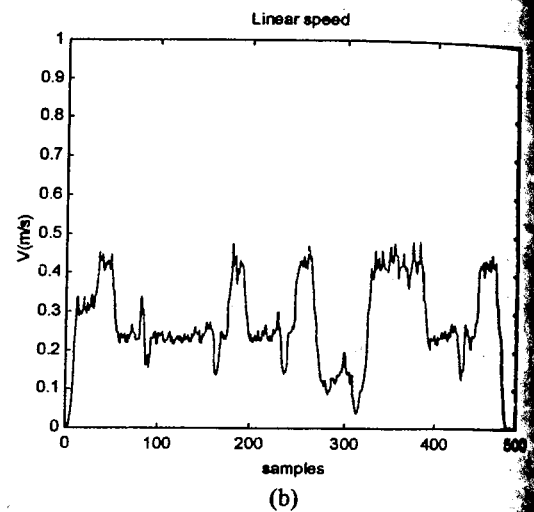
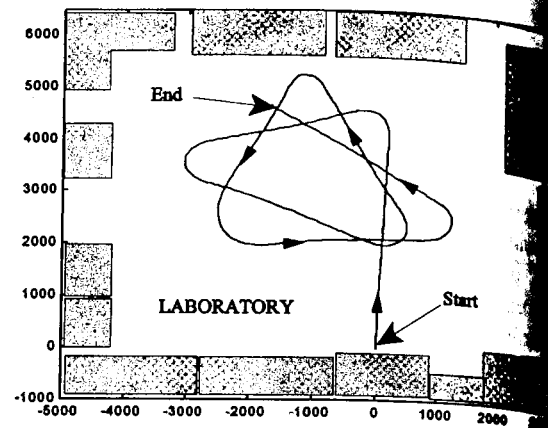


Figure 8. Example of the guidance

6. ACKNOWLEDGEMENTS

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